

Interview Summary	Application No. 09/967,111	Applicant(s) CORMIER ET AL.	
	Examiner Charles E. Anya	Art Unit 2194	

All participants (applicant, applicant's representative, PTO personnel):

(1) Charles E. Anya. (3) _____

(2) Paul P. Kriz. (4) _____

Date of Interview: 29 March 2007.

Type: a) ☒ Telephonic b) ☐ Video Conference
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.
If Yes, brief description: _____

Claim(s) discussed: 1,15,29,30,44-46 and 51.

Identification of prior art discussed: none.

Agreement with respect to the claims f) ☒ was reached. g) ☐ was not reached. h) ☐ N/A.

Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Applicant agrees to amend the claims in accordance with the Examiner's amendment.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

William Thompson
WILLIAM THOMPSON
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

Examiner's signature, if required.

ATTORNEY DOCKET NO.: EMC01-11(01046)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Richard Francis Cormier, Andrew Bruce and
Svetlana Patsenker
Application No.: 09/967,111
Title: METHODS AND APPARATUS FOR MANAGING PLUG-IN
SERVICES
Filed: September 28, 2001
Examiner: Anya, Charles E.
Group Art Unit: 2194
Conf. No.: 8094

From: Paul P. Kriz
Telephone: 508-616-9660

Examiner Anya:

The pending independent claims have been amended to include the limitations of previously pending claims 44-46. Certain redundant limitations have been removed for clarity sake. Please call if you have any questions. Please feel free to enter these changes via an Examiner's amendment.

Regards, Paul

AMENDMENT D

The Examiner indicated that the following amendments would put the case in condition for allowance:

1. (Currently Amended) A method for managing services associated with a plurality of plug-in modules, the method comprising the steps of:
 - obtaining identities of a plurality of plug-in modules;
 - based on queries to the plurality of plug-in modules, retrieving a dependency list information indicating respective plug-in services provided by,

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and required by, ~~each plug-in module identified in the identities of a~~ the plurality of plug-in modules;

wherein retrieving the dependency list information includes:

initiating a query to a first plug-in module of the plurality of plug-in modules;

in response to the query to the first plug-in module, receiving dependency information from the first plug-in module indicating that the first plug-in module requires a service provided by a second plug-in module;

initiating a query to the second plug-in module;

in response to the query to the second plug-in module, receiving dependency information from the second plug-in module;

calculating a plug-in initiation order based upon the received dependency information; list indicating respective plug-in services provided by, and required by, each plug-in module;

wherein calculating the plug-in initiation order includes:

producing the plug-in initiation order based on the dependency information received from the first plug-in module and the dependency information received from the second plug-in module; and

initiating service operation of plug-in modules according to the plug-in initiation order, such that if ~~the~~ a first plug-in module provides a service required by ~~the~~ a second plug-in module, the first plug-in module is initiated such that the service provided by the first plug-in module is available to the second plug-in module when required by the second plug-in module.

2. (Previously Presented) The method of claim 1, wherein the step of obtaining identities of a plurality of plug-in modules includes the steps of:

receiving a list of services to be started within the computer system;

determining, for each service in the list of services, a respective plug-in module definition that can provide that service; and

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placing the identity of each plug-in module definition determined in the step of determining into the identities of the plurality of plug-in modules.

3. (Currently Amended) The method of claim 1, wherein the step of retrieving the a dependency list information includes: ~~indicating respective plug-in services provided by, and required by, each plug-in module comprises the steps of:~~

for each plug-in module identified in the identities of a plurality of plug-in modules, performing the steps of:

instantiating the plug-in module based upon a plug-in module definition associated with the identity of the plug-in module;

receiving a dependency response from the plug-in module, the dependency response indicating respective plug-in services provided by, and required by, the plug-in module; and

storing identities of the respective plug-in services provided by, and required by, the plug-in module as identified in the dependency response ~~in the dependency list.~~

4. (Previously Presented) The method of claim 3, wherein the step of instantiating the plug-in module comprises the steps of:

obtaining plug-in initiation information corresponding to the plug-in module definition associated with the identity of the plug-in module;

instantiating the plug-in module based upon a plug-in module definition associated with the identity of the plug-in module; and

passing the plug-in initiation information to the plug-in module for use by the plug-in module.

5. (Previously Presented) The method of claim 3, wherein the step of instantiating the plug-in module comprises the step of:

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querying a dependency interface associated with the plug-in module with a dependency query to obtain the dependency response from the plug-in module.

6. (Currently Amended) The method of claim 1, wherein the step of calculating a plug-in initiation order based upon the dependency information list comprises the step of:

arranging a placement of each plug-in module identified in the dependency list information within the plug-in initiation order such that plug-in modules not requiring services provided by other plug-in modules are placed earlier in the initiation order and such that plug-in modules requiring services provided by other plug-in modules are placed later in the initiation order.

7. (Currently Amended) The method of claim 6, wherein the step of arranging placement of each plug-in module identified in the dependency list information within the plug-in initiation order comprises the steps of:

analyzing the dependency list information indicating respective plug-in services provided by, and required by, each plug-in module to determine which plug-ins provide services relied upon by other plug-in modules; and

creating, as the plug-in initiation order, at least one plug-in module dependency tree based on the step of analyzing, the at least one plug-in module dependency tree containing a hierarchical arrangement of nodes associated with respective plug-in modules, the hierarchical arrangement indicating the plug-in initiation order of the plug-in modules respectively associated with the nodes in the dependency tree.

8. (Previously Presented) The method of claim 7, wherein initiating service operation of plug-in modules according to the plug-in initiation order comprises:

traversing the at least one plug-in module dependency tree according to the hierarchical arrangement of nodes and for each node encountered during the

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step of traversing, initiating service operation of the respective plug-in module associated with that node.

9. (Previously Presented) The method of claim 8, wherein the step of initiating service operation of plug-in modules includes:

forwarding, via a dependency available interface associated with a respective plug-in module, a list of initiated plug-in services of other plug-in modules that are currently available for use by the respective plug-in module.

10. (Previously Presented) The method of claim 1, wherein the step of initiating service operation of plug-in modules according to the plug-in initiation order comprises performing, for each respective plug-in module in the plug-in initiation order, the steps of:

determining, from a published list of services available by initiated plug-in modules, an identity of each initiated plug-in service required by the respective plug-in module;

forwarding to the respective plug-in module, via a dependency available interface associated with the respective plug-in module, the identity of each initiated plug-in service required by the respective plug-in module;

receiving a list of services initiated by the respective plug-in module; and

adding the list of services provided by the respective plug-in module to the published list of services.

11. (Previously Presented) The method of claim 1, wherein the step of initiating service operation of plug-in modules according to the plug-in initiation order operates such that if the second plug-in module requires a service provided by the first plug-in module, the second plug-in module is initiated such that the service provided by the first plug-in module is available to the second plug-in module when required.

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12. (Previously Presented) The method of claim 1, wherein the first plug-in module is initiated via the step of initiating service operation of plug-in modules prior to initiation of the second plug-in module.

13. (Previously Presented) The method of claim 1, wherein the first plug-in module is initiated via the step of initiating operation of plug-in modules after initiation of the second plug-in module, and wherein the second plug-in module includes a wait-state operation causing the second plug-in module to wait to provide the service offered by the second plug-in module until initiation of the first plug-in module.

14. (Previously Presented) The method of claim 1, wherein the steps of obtaining, retrieving, calculating and initiating are performed by a multi-threaded plug-in manager and wherein the step of calculating a plug-in initiation order is performed by collectively operating a respective thread for each plug-in, each thread performing the step of initiating service operation of at least one plug-in module when all services required by that plug-in module are available.

15. (Currently Amended) A computer system comprising:

a memory;

a processor; and

an interconnection mechanism coupling the memory and the processor, wherein the memory is encoded with a plug-in manager application that, when performed on the processor, produces a plug-in manager process that manages services associated with a plurality of plug-in modules encoded within the memory by performing the operation steps of:

obtaining identities of a plurality of plug-in modules including a first plug-in module and a second plug-in module; ~~in the memory;~~

~~based on queries to the plurality of plug-in modules, retrieving, into the memory, a dependency list indicating respective plug-in services provided by,~~

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~~and required by, each plug-in module identified in the identities of a plurality of plug-in modules;~~

initiating a query to the first plug-in module of the plurality of plug-in modules;

in response to the query to the first plug-in module, receiving dependency information from the first plug-in module indicating that the first plug-in module requires a service provided by the second plug-in module;

initiating a query to the second plug-in module;

in response to the query to the second plug-in module, receiving dependency information from the second plug-in module

~~calculating, in the memory, a plug-in initiation order based upon the dependency list indicating respective plug-in services provided by, and required by, each plug-in module; and~~

producing a plug-in initiation order based on the dependency information received from the first plug-in module and the dependency information received from the second plug-in module;

initiating service operation of plug-in modules on the processor according to the plug-in initiation order, such that if a first plug-in module provides a service required by a second plug-in module, the first plug-in module is initiated such that the service provided by the first plug-in module is available to the second plug-in module when required by the second plug-in module.

16. (Currently Amended) The computer system of claim 15, wherein when the plug-in manager process performs the step of obtaining identities of the a plurality of plug-in modules, the plug-in manager process performs the steps of:

receiving a list of services to be started within the computer system;

determining, for each service in the list of services, a respective plug-in module definition that can provide that service; and

placing the identity of each plug-in module definition determined in the step of determining into the identities of the plurality of plug-in modules.

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17. (Currently Amended) The computer system of claim 15, wherein when the plug-in manager process performs a the step of retrieving a dependency list information indicating respective plug-in services provided by, and required by, each plug-in module, the plug-in manager process performs the steps of:

for each plug-in module identified in the identities of a plurality of plug-in modules, performing the steps of:

instantiating the plug-in module in the memory based upon a plug-in module definition associated with the identity of the plug-in module;

receiving a dependency response from the plug-in module, the dependency response indicating respective plug-in services provided by, and required by, the plug-in module; and

storing, in the memory, identities of the respective plug-in services provided by, and required by, the plug-in module as identified in the dependency response, ~~in the dependency list.~~

18. (Previously Presented) The computer system of claim 17, wherein when the plug-in manager process performs the step of instantiating the plug-in module, the plug-in manager process performs the steps of:

obtaining, in the memory, plug-in initiation information corresponding to the plug-in module definition associated with the identity of the plug-in module;

instantiating the plug-in module in the memory based upon a plug-in module definition associated with the identity of the plug-in module; and

passing the plug-in initiation information to the plug-in module in the memory for use by the plug-in module.

19. (Previously Presented) The computer system of claim 17, wherein when the plug-in manager process performs the step of instantiating the plug-in module, the plug-in manager process performs the step of:

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querying a dependency interface associated with the plug-in module with a dependency query to obtain the dependency response from the plug-in module.

20. (Currently Amended) The computer system of claim 15, wherein when the plug-in manager process performs a the step of producing calculating a the plug- in initiation order based upon the dependency information~~list~~, the plug-in manager process performs the step of:

arranging a placement of each plug-in module identified in a the dependency list within the plug-in initiation order such that plug-in modules not requiring services provided by other plug-in modules are placed earlier in the initiation order and such that plug-in modules requiring services provided by other plug-in modules are placed later in the initiation order.

21. (Previously Presented) The computer system of claim 20, wherein when the plug-in manager process performs the step of arranging placement of each plug-in module identified in the dependency list within the plug-in initiation order, the plug-in manager process performs the steps of:

analyzing the dependency list indicating respective plug-in services provided by, and required by, each plug-in module to determine which plug-ins provide services relied upon by other plug-in modules; and

creating in the memory, as the plug-in initiation order, at least one plug-in module dependency tree based on the step of analyzing, the at least one plug-in module dependency tree containing a hierarchical arrangement of nodes associated with respective plug-in modules, the hierarchical arrangement indicating the plug-in initiation order of the plug-in modules respectively associated with the nodes in the dependency tree.

22. (Previously Presented) The computer system of claim 21, wherein when the plug-in manager process performs the step of initiating service operation of plug-

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in modules according to the plug-in initiation order, the plug-in manager process performs the step of:

traversing the at least one plug-in module dependency tree in the memory according to the hierarchical arrangement of nodes and for each node encountered during the step of traversing, initiating service operation of the respective plug-in module associated with that node.

23. (Previously Presented) The computer system of claim 22, wherein when the plug-in manager process performs the step of initiating service operation of the respective plug-in module associated with that node, the plug-in manager process performs the step of:

forwarding, via a dependency available interface associated with the respective plug-in module, a list of initiated plug-in services of other plug-in modules that are currently available for use by the respective plug-in module.

24. (Previously Presented) The computer system of claim 15, wherein when the plug-in manager process performs the step of initiating service operation of plug-in modules according to the plug-in initiation order the plug-in manager process performs, for each respective plug-in module in the plug-in initiation order, the steps of:

determining, from a published list of services available by initiated plug-in modules, an identity of each initiated plug-in service required by the respective plug-in module;

forwarding to the respective plug-in module, via a dependency available interface associated with the respective plug-in module, the identity of each initiated plug-in service required by the respective plug-in module;

receiving a list of services initiated by the respective plug-in module; and

adding the list services provided by the respective plug-in module to the published list of services.

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25. (Original) The computer system of claim 15, wherein the step of initiating service operation of plug-in modules according to the plug-in initiation order operates in the plug-in manager process such that if the second plug-in module requires a service provided by the first plug-in module, the second plug-in module is initiated such that the service provided by the first plug-in module is available to the second plug-in module when required.

26. (Previously Presented) The computer system of claim 15, wherein the plug-in manager initiates the first plug-in module via the step of initiating service operation of plug-in modules prior to initiation of the second plug-in module.

27. (Previously Presented) The computer system of claim 15, wherein the plug-in manager process initiates the first plug-in module via the step of initiating operation of plug-in modules after initiation of the second plug-in module, and wherein the second plug-in module includes a wait-state operation causing the second plug-in module to wait to provide the service offered by the second plug-in module until initiation of the first plug-in module.

28. (Currently Amended) The computer system of claim 15, wherein the steps of obtaining, ~~receiving~~retrieving, ~~producing~~, calculating and initiating are performed by a multi-threaded plug-in manager and wherein the step of calculating a plug-in initiation order is performed by collectively operating a respective thread for each plug-in, each thread performing the step of initiating service operation of at least one plug-in module when all services required by that plug-in module are available.

29. (Currently Amended) A computer program product having a computer-readable medium including computer program logic encoded thereon, that when executed on a computer system having a coupling of a memory and a processor,

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provides a plug-in manager process for managing plug-in services by causing the processor to perform the operations of:

obtaining identities of a plurality of plug-in modules including a first plug-in module and a second plug-in module in the memory;

initiating a query to the first plug-in module of the plurality of plug-in modules;

in response to the query to the first plug-in module, receiving dependency information from the first plug-in module indicating that the first plug-in module requires a service provided by the second plug-in module;

initiating a query to the second plug-in module;

in response to the query to the second plug-in module, receiving dependency information from the second plug-in module;

~~initiating a respective query to each of the plurality of plug-in modules;
from each queried plug-in module of the plurality of plug-in modules,
retrieving, into the memory, a dependency list indicating respective plug-in
services provided by, and required by, a respective queried plug-in module
identified in the identities of a plurality of plug-in modules;~~

~~calculating, in the memory, a plug-in initiation order based upon the
dependency list retrieved from each queried plug-in module indicating respective
plug-in services provided by, and required by, the respective queried plug-in
module;~~

producing a plug-in initiation order based on the dependency information
received from the first plug-in module and the dependency information received
from the second plug-in module; and

initiating service operation of plug-in modules on the processor according to the plug-in initiation order, such that if a first plug-in module provides a service required by a second plug-in module, the first plug-in module is initiated such that the service provided by the first plug-in module is available to the second plug-in module when required by the second plug-in module; and

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~~querying a dependency interface associated with the plug-in module with a dependency query to obtain a dependency response from the plug-in module, the dependency response indicating respective plug-in services provided by the plug-in module.~~

30. (Currently Amended) A computer system comprising:

a memory;

a processor; and

an interconnection mechanism coupling the memory and the processor;
wherein the memory is encoded with a plug-in manager application that, when performed on the processor, produces a plug-in manager process that manages services associated with a plurality of plug-in modules encoded within the memory by operating on the computer system and causing the computer system to provide:

means for obtaining identities of a plurality of plug-in modules in the memory;

means for initiating a query to a first plug-in module of the plurality of plug-in modules;

means for receiving dependency information from the first plug-in module indicating that the first plug-in module requires a service provided by a second plug-in module in response to the query to the first plug-in module;

means for initiating a query to the second plug-in module;

means for receiving dependency information from the second plug-in module in response to the query to the second plug-in module;

means for producing a plug-in initiation order based on the dependency information received from the first plug-in module and the dependency information received from the second plug-in module;

~~means for retrieving, into the memory, a dependency list indicating respective plug-in services provided by, and required by, each plug-in module identified in the identities of a plurality of plug-in modules;~~

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~~means for calculating, in the memory, a plug-in initiation order based upon the dependency list indicating respective plug-in services provided by, and required by, each plug-in module;~~

means for initiating service operation of plug-in modules on the processor according to the plug-in initiation order, such that if a first plug-in module provides a service required by a second plug-in module, the first plug-in module is initiated such that the service provided by the first plug-in module is available to the second plug-in module when required by the second plug-in module; and

wherein the first plug-in module is initiated via the step of initiating operation of plug-in modules after initiation of the second plug-in module, and wherein the second plug-in module includes a wait-state operation causing the second plug-in module to wait to provide the service offered by the second plug-in module until initiation of the first plug-in module.

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31. (Previously Presented) The computer program product as in claim 29, wherein the processor further performs operations of:
- determining a list of plug-in services required by a software application; and
 - querying a set of plug-in modules to identify services provided by the set of plug-in modules.
32. (Previously Presented) The computer program product as in claim 31, wherein the processor further performs operations of:
- in response to querying the set of plug-in modules, identifying plug-in modules not identified by the software application as being necessary but which are identified by the set of plug-in modules as being necessary to carry out execution of an operation on behalf of the software application.
33. (Previously Presented) The computer program product as in claim 32, wherein the processor further performs operations of:
- initiating service operation of plug-in modules on the processor according to an order other than the plug-in Initiation order, such that if a third plug-in module provides a service required by a fourth plug-in module, the third plug-in module being initiated after initiation of the fourth plug-in module, the third plug-in module initiating a wait state operation causing the third plug-in module to wait to provide the service offered by the third plug-in module until instantiation of the fourth plug-in module.
34. (Previously Presented) The computer program product as in claim 32, wherein the processor initiates execution of the first plug-in module before execution of the second plug-in module, the first plug-in module initiating a wait state operation resulting in signaling to the second plug-in module,

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the signaling indicating that a respective service of the first plug-in module is not yet available to the second plug-in module.

35. (Previously Presented) The computer program product as in claim 34, wherein the processor further performs operations of:
- maintaining a list of services for a set of plug-in modules currently able to provide respective services; and
 - publishing the list of services for the software application to identify instantiated plug-ins currently providing the respective services.
36. (Previously Presented) The computer program product as in claim 29, wherein initiating service operation of the plug-in modules includes:
- initiating at least partial concurrent execution of the first plug-in module and the second plug-in module even though the second plug-in module requires the service provided by the first plug-in module.
37. (Previously Presented) The computer program product as in claim 29, wherein initiating service operation of the plug-in modules includes initiating execution of a first plug-in module that implements a wait state operation, the wait state operation causing the first plug-in module to signal to a dependent second plug-in module that a respective service is not available.
38. (Previously Presented) The method as in claim 1, wherein initiating service operation of the plug-in modules includes initiating at least partial concurrent execution of the first plug-in module and the second plug-in module.

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39. (Previously Presented) The method as in claim 38, wherein the second plug-in module depends on a given service provided by the first plug-in module
40. (Previously Presented) The method as in claim 39, wherein initiating service operation of the plug-in modules enables communication between the first plug-in module and the second plug-in module to enable each other to indicate when the given service is available.
41. (Previously Presented) The method as in claim 40, wherein during the at least partial concurrent execution, the first plug-in module initiates a wait state and notifies the second plug-in module that the first plug-in module is available for service processing.
42. (Previously Presented) The method as in claim 40, wherein during the at least partial concurrent execution, the first plug-in module initiates a wait state and notifies the second plug-in module that the first plug-in module is waiting for the second plug-in module to provide a particular service.
43. (Previously Presented) The method as in claim 1 further comprising:
utilizing services of initiated plug-in modules for purposes of managing resources associated with a respective storage area network.
44. (Canceled)
45. (Canceled)
46. (Canceled)

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47. (Currently Amended) The method as in claim 1 ~~claim~~ further comprising:
~~44, wherein retrieving the dependency list includes:~~
initiating a query to the second plug-in module; and
in response to the query to the second plug-in module, receiving
dependency information from the second plug-in module indicating that
the second plug-in module does not require services of any other plug-in
modules.
48. (Canceled)
49. (Canceled)
50. (Currently Amended) The computer system as in claim 15, ~~claim 49,~~
~~wherein the dependency information received from the first plug-in module~~
~~indicates that the second plug-in module is required by the first plug-in~~
~~module; and~~
wherein the dependency information received from the second
plug-in module indicates that a third plug-in module is required by the
second plug-in module.
51. (Currently Amended) The computer system as in claim 15 further
supporting operations of: ~~wherein retrieving the dependency list~~
~~indicating respective plug-in services required by each plug-in module~~
~~includes:~~
receiving dependency information from the first plug-in module, the
first plug-in module being one of the queried plurality of plug-in modules;
based on contents of the dependency listing received from the first
plug-in module, identifying that the first plug-in module requires a service
provided by the second plug-in module;
initiating a query to the second plug-in module;

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~~in response to initiating the query to the second plug-in module,~~
~~receiving a dependency listing from the second plug-in module;~~

based on contents of the dependency information listing received
from the second plug-in module, identifying that the second plug-in
module requires a service provided by a ~~the~~ third plug-in module; and
initiating a query to the third plug-in module; and

in response to the query to the third plug-in module, receiving a
dependency listing from the third plug-in module indicating that the third
plug-in module does not require services of any other plug-in modules;
and

wherein producing ~~calculating~~ the plug-in initiation order includes
producing the plug-in initiation order based on the dependency information
received from the first plug-in module, the dependency information
received from the second plug-in module, and the dependency listing
received from the third plug-in module.